

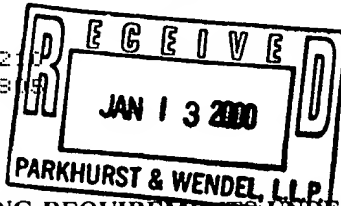
M. 744:0710
- RWP
09/423356
2-11-2000 - Ms. Bouda
Dec 1 Fee Due



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	HYAF: 093
ATTY. DOCKET NO.		

ROGER W PARKHURST
PARKHURST & WENDEL
1421 PRINCE ST SUITE 2
ALEXANDRIA VA 22314-2819



INVENTOR'S SIGNATURE

FILED DATE	PAYMENT DATE
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01/11/00

DATE MAILED

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☒ a Designated Office (37 CFR 1.494),
☐ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.
☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 23 Nov 99 and _____

☒ Information Disclosure Statement(s) filed 23 Nov 99 and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: 301, 304, 308

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

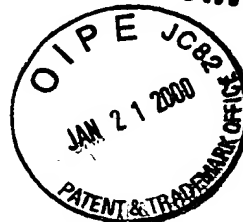
Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

DOCKETED
BY BLUG ON 1-14 192000
AND
BY amr ON 1-20 192000
(PW)

101 Rec'd PCT/PTO

21 JAN 2000



09/423356

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki KIRINO et al.

Serial No.: 09/423,356

Filed: November 23, 1999

For: TRANSMISSION APPARATUS AND TRANSMISSION METHOD

ASSIGNMENT TRANSMITTAL LETTER

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Please record the attached Assignment in the name of the assignor and the assignee as indicated below and return the original document to the undersigned.

1. Submission Type:

Conveyance Type:

☒ New
☐ Resubmission (Non-Recordation)
Document ID# _____
☐ Correction of PTO Error
Correction of Document
Reel # _____ Frame # _____

☒ Assignment
☐ Security Agreement
☐ License
☐ Change of Name
☐ Merger
☐ Other

02/14/2000 WCLAYBRO 00000121

02 FC:581

2. Name of Conveying Party(ies):

Hideki KIRINO; Tetsuo HIRAGA.

3. Name and Address of Receiving Party(ies):

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.
1006, Oaza Kadoma,
Kadoma-shi, Osaka 571-8501
JAPAN.

4. Execution Date of Assignment: January 7, 2000.

5. If this Assignment is being filed together with a new application, the execution date of the application is: January 7, 2000.

6. Total number of applications and patents involved: 1.

All correspondence should be addressed to:

Roger W. Parkhurst
PARKHURST & WENDEL, L.L.P.
Suite 210
1421 Prince Street
Alexandria, VA 22314-2805.

The Assignment recordation fee (\$40.00) is covered by our attached Check Number 12349.

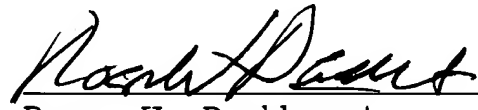
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Total number of pages including cover sheet, attachments and document 4.

If there should be any questions concerning the amount of the fee or should our check become detached, please debit or credit our Deposit Account Number 16-0331 as needed in order to effect proper filing of the attached document.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

January 21, 2000
Date



Roger W. Parkhurst
Registration No. 25,177

Attorney Docket No. HYAE:093

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

101 Rec'd PCT/PTO 21 JAN 2000

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PCT #3



09/423356

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki KIRINO et al.

Serial No.: 09/423,356

Filed: November 23, 1999

For: TRANSMISSION APPARATUS AND TRANSMISSION METHOD

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on November

23, 1999, as an executed Declaration. Accordingly, submitted

01/31/2000 UNLKER 00000093 09423356 170.00 UP
01 FC:190 herewith is a fully executed Declaration and Assignment of the

inventors. Attached is our check for \$170.00, which includes

\$130.00 to cover the payment of the fee in accordance with 37 CFR

Adjustment dates 02/14/2000 UNLKER 00000093 09423356
01/31/2000 UNLKER 00000093 09423356
01 FC:190

170.00 UP and \$40.00 to cover the fee for recordation of the

Assignment document.

Entry of these documents should complete all of the filing
formalities and fully satisfy the Notice of Missing Parts mailed

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331

January 11, 2000. Accordingly examination and allowance of the application in due course are respectfully solicited.

All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

January 21, 2000
Date

RWP/ame

Attorney Docket No. HYAE:093

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Roger W. Parkhurst
Registration No. 25,177